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PTO/SB/05 (2/98)

U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.

FORT1110

First Inventor or Application Identifier

Steven Van der Hoeven

Title

METHOD AND SYSTEM FOR DISAMBIGUATION AND  
PREDICTIVE RESOLUTION

Express Mail Label No.

EV338102489US

16018 U.S. PTO  
10/800203

031204

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal for FY 2004  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages] **62**  
(preferred arrangement set forth below)
- ☒ Descriptive Title of the Invention
  - ☒ Cross References to Related Applications
  - ☒ Background of the Invention
  - ☒ Summary of the Invention
  - ☒ Brief Description of the Drawings (if filed)
  - ☒ Description of Preferred Embodiments
  - ☐ References
  - ☒ Claim(s)
  - ☒ Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets] **10**
4. ☒ Oath or Declaration **2**  
[Total Pages]
- a. ☒ Newly executed
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)
5. ☐ Incorporation By Reference (useable if box 4b is checked).  
The entire disclosure of the prior application, from  
which a copy of the oath or declaration is supplied  
under Box 4b, is considered to be part of the disclosure  
of the accompanying application and is hereby  
incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer-Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard
14. ☒ Small Entity ☐ Statement filed in prior application,  
Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: **Certificate of Mailing; Filing Fee;  
Request for Non-Publication**

## 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP) of prior Application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

☐ Claims the benefit of Provisional Application No. \_\_\_\_\_

## CORRESPONDENCE ADDRESS:

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Tel. (512) 457-7216  
Fax. (512) 457-7001

Customer No. :

25094

TYPED or PRINTED NAME Briyeh G. AkmalREGISTRATION NO. **51,388**

SIGNATURE \_\_\_\_\_

DATE: **March 12, 2004**



04772

U.S. PTO

031204

PTO/SB/17 (11-01)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004		Complete if Known	
		Application Number	Unknown
Effective 01/01/2003. Patent fees are subject to annual revision.		Filing Date	March 12, 2004
		First Named Inventor	Steven Van der Hoeven
		Examiner Name	Unknown
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Art Unit	Unknown
TOTAL AMOUNT OF PAYMENT	(\$) 691.00	Attorney Docket No.	FORT1110

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																					
<input checked="" type="checkbox"/> Check	<input type="checkbox"/> Credit Card																																						
Deposit Account Number	50-0456																																						
Deposit Account Name	Gray Cary Ware & Freidenrich LLP																																						
The Commissioner is authorized to: (check all that apply)																																							
<input type="checkbox"/> Charge (fees) indicated below.		<input checked="" type="checkbox"/> Credit any overpayments																																					
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FEE CALCULATION		3. ADDITIONAL FEES																																					
1. BASIC FILING FEE																																							
<table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>1001</td><td>2001</td><td>770</td><td>385</td><td>Utility filing fee</td><td>385.00</td></tr><tr><td>1002</td><td>2002</td><td>330</td><td>165</td><td>Design filing fee</td><td></td></tr><tr><td>1003</td><td>2003</td><td>520</td><td>260</td><td>Plant filing fee</td><td></td></tr><tr><td>1004</td><td>2004</td><td>750</td><td>375</td><td>Reissue filing fee</td><td></td></tr><tr><td>1005</td><td>2005</td><td>160</td><td>80</td><td>Provisional filing fee</td><td></td></tr></tbody></table>		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	1001	2001	770	385	Utility filing fee	385.00	1002	2002	330	165	Design filing fee		1003	2003	520	260	Plant filing fee		1004	2004	750	375	Reissue filing fee		1005	2005	160	80	Provisional filing fee			
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SUBTOTAL (1) (\$) 385.00																																							
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE																																							
Total Claims 54 -20** = 34 X Fee from below 9 = Fee Paid 306.00																																							
Independent Claims 3 -3** = 0 X Fee from below 0 = Fee Paid 0																																							
Multiple Dependent Claims X Fee from below 0 = Fee Paid 0																																							
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SUBTOTAL (2) (\$) 306.00																																							
**or number previously paid, if greater; For Reissues, see above																																							
		Other fee (specify) _____																																					
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		SUBTOTAL (3) (\$) _____																																					

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Ariyeh G. Akmal	Registration No. (Attorney/Agent)	51,388
Signature		Telephone	512-457-7216
		Date	March 12, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Gray Cary/AU4124529.1

<b>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</b>	
<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL"</b>	Atty Docket No. (Optional) <b>FORT1110</b>
<b>Mail Stop Patent Application</b> Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450  Sir:	In the Application of: <b>Steven Van der Hoeven</b>
	Date Filed: <b>March 12, 2004</b>
	Title: <b>Method and System for Disambiguation and Predictive Resolution</b>

I hereby certify that the attached Utility Patent Application Transmittal Form, Fee Transmittal, executed Declaration and Power of Attorney, Request for Nonpublication, Information Disclosure Statement with PTO SB08/A and B and References, Utility Patent Application, ten (10) pages of Drawings, Check and Postcard are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. **EV338102489US**, on **March 12, 2004**, addressed to: **Mail Stop Patent Application**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

**Gray Cary Ware & Freidenrich LLP**

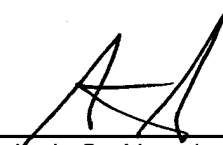
  
 Laura M. McGuire

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	Steven Van der Hoeven
	Title	METHOD AND SYSTEM FOR DISAMBIGUATION AND PREDICTIVE RESOLUTION
	Atty. Docket:	FORT1110

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

March 12, 2004

Date

  
Ariyeh G. Akmal  
Reg. No. 51,388

This request must be signed in compliance with 37 C.F.R. 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

**Burden Hour Statement:** This collection of information is required by 37 C.F.R. 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 C.F.R. 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.